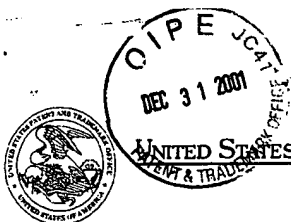


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Page 1 of 1



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/945,554	08/30/2001	Leonard Forbes	1303.028US1

CONFIRMATION NO. 1837

## FORMALITIES LETTER



\*OC000000006913480\*

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938  
Minneapolis, MN 55402

Date Mailed: 10/16/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/02/2002 SHINASS1 00000003 09945554

01 FC:101	740.00 OP
02 FC:103	522.00 OP
03 FC:102	588.00 OP
04 FC:105	130.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1082.
  - \$522 for 29 total claims over 20.
  - \$560 for 7 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1922.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND

ADVISORY OFFICE

Section 1  
#3

Applicant: Leonard Forbes

Title: SRAM CELLS WITH REPRESSED FLOATING GATE MEMORY, LOW TUNNEL BARRIER  
INTERPOLY INSULATORS

Docket No.: 1303.028US1  
Filed: August 30, 2001  
Examiner:

Serial No.: 09/945,554  
Due Date: December 16, 2001  
Group Art Unit: 2812

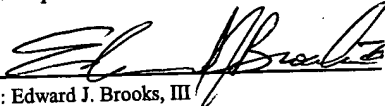
Attn: Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

We are transmitting herewith the attached:

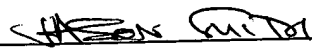
- ☒ A return postcard.
- ☒ A check in the amount of \$130.00 to cover the Missing Parts Fee.
- ☒ A check in the amount of \$1,850.00 to cover the Basic Filing Fee and Additional Claims Fee.
- ☒ Communication Re: Missing Parts (1 pg.)
- ☒ A signed Declaration (3 pgs.).
- ☒ Notice to File Missing Parts (1pg.)
- Other: \_\_\_\_\_


If not provided for in a separate paper filed herewith, please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

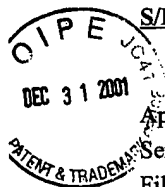
By:   
Name: Edward J. Brooks, III  
Reg. No. 40,925  
EJB:CMG:jds

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on this 28 day of November, 2001.

  
Name

  
Signature

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S/N 09/945,554

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Leonard Forbes  
Serial No.: 09/945,554  
Filed: August 30, 2001  
Title: SRAM CELLS WITH REPRESSED FLOATING GATE MEMORY, LOW TUNNEL BARRIER INTERPOLY INSULATORS

Examiner:  
Group Art Unit: 2812  
Docket: 1303.028US1

COMMUNICATION RE: MISSING PARTS

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

In response to the "Notice to File Missing Parts" (see enclosed copy), we submit the signed Declaration along with a check in the amount of \$130.00 to cover the large entity surcharge and a check in the amount of \$1,850.00 to cover the large entity basic filing fee.

Applicant assumes the application is now in proper order and in condition for examination. Please direct any inquiries to the undersigned attorney at (612) 373-6913.

If necessary, please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

Respectfully submitted,

LEONARD FORBES

By his Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938  
Minneapolis, MN 55402  
(612) 373-6913

Date

11/27/2001

By

Edward J. Brooks, III

Edward J. Brooks, III  
Reg. No. 40,925  
EJB:CMG:jds

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on this 28 day of November, 2001.

Name

JASON SMITH

Signature

JASON SMITH